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UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF CALIFORNIA
OAKLAND DIVISION

IN RE JDS UNIPHASE CORPORATION
SECURITIES LITIGATION

) Master File No. C 02-1486 (EDL)
) Class Action

) **PLAINTIFF'S PROPOSED VERDICT**
) **FORM**

Section 10(b) Claims

1. Did JDS Uniphase Corporation violate Section 10(b) of the Securities and Exchange Act of 1934?

Yes ____ No ____

IF YOU ANSWERED "YES", PLEASE PROCEED TO QUESTION 2. IF YOU ANSWERED NO, PROCEED TO QUESTION 3.

2. Did JDS Uniphase Corporation knowingly violate Section 10(b)?

Yes ____ No ____

PLEASE PROCEED TO QUESTION 3.

3. Did Charles Abbe violate Section 10(b) of the Securities and Exchange Act of 1934?

Yes ____ No ____

IF YOU ANSWERED "YES", PLEASE PROCEED TO QUESTION 4. IF YOU ANSWERED "NO", PLEASE PROCEED TO QUESTION 5.

4. Did Charles Abbe knowingly violate Section 10(b)?

Yes ____ No ____

PLEASE PROCEED TO QUESTION 5.

5. Did Kevin Kalkhoven violate Section 10(b) of the Securities and Exchange Act of 1934?

Yes ____ No ____

IF YOU ANSWERED "YES", PLEASE PROCEED TO QUESTION 6. IF YOU ANSWERED "NO", PLEASE PROCEED TO QUESTION 7.

6. Did Kevin Kalkhoven knowingly violate section 10(b)?

Yes ____ No ____

PLEASE PROCEED TO QUESTION 7.

7. Did Anthony Muller violate Section 10(b) of the Securities and Exchange Act of 1934?

Yes _____ No _____

IF YOU ANSWERED "YES", PLEASE PROCEED TO QUESTION 8. IF YOU ANSWERED "NO", PLEASE PROCEED TO QUESTION 9.

8. Did Anthony Muller knowingly violate Section 10(b)?

Yes _____ No _____

PLEASE PROCEED TO QUESTION 9.

9. Did Jozef Straus violate Section 10(b) of the Securities and Exchange Act of 1934?

Yes _____ No _____

IF YOU ANSWERED "YES", PLEASE PROCEED TO QUESTION 10. IF YOU ANSWERED "NO", PLEASE PROCEED TO QUESTION 11.

10. Did Jozef Straus knowingly violate Section 10(b)?

Yes _____ No _____

PLEASE PROCEED TO QUESTION 11.

11. Using the chart below, state the percentage of responsibility of each person who violated Section 10(b) of the Securities and Exchange Act of 1934, measured as a percentage of all persons who caused or contributed to the loss of the plaintiff class. You should not allocate any percentage of responsibility to any person who did not violate Section 10(b). The total of all percentages must be 100%.

	Did this person violate Section 10(b)?	Percentage of Responsibility
Charles Abbe	Yes _____ No _____ (Copy answer from question 3 above.)	_____%
Kevin Kalkhoven	Yes _____ No _____ (Copy answer from question 5 above.)	_____%
Anthony Muller	Yes _____ No _____ (Copy answer from question 7 above.)	_____%
Jozef Straus	Yes _____ No _____ (Copy answer from question 9 above.)	_____%

PLEASE PROCEED TO QUESTION 12.

Section 14 Claims in Connection with the Merger with SDL

12. Did Anthony Muller violate Section 14 of the Securities and Exchange Act of 1934 in connection with the merger of JDSU and SDL?

Yes ____ No ____

IF YOU ANSWERED YES, PLEASE PROCEED TO QUESTION 13. IF YOU ANSWERED NO, PROCEED TO QUESTION 14.

13. Did Anthony Muller knowingly violate Section 14 of the Securities and Exchange Act of 1934. in connection with the merger of JDSU and SDL?

Yes ____ No ____

PLEASE PROCEED TO QUESTION 14.

14. Did Jozef Straus violate Section 14 of the Securities and Exchange Act of 1934 in connection with the merger of JDSU and SDL?

Yes ____ No ____

IF YOU ANSWERED YES, PLEASE PROCEED TO QUESTION 15. IF YOU ANSWERED NO, PROCEED TO QUESTION 16.

15. Did Jozef Straus knowingly violate Section 14 of the Securities and Exchange Act of 1934 in connection with the merger of JDSU and SDL?

Yes ____ No ____

PLEASE PROCEED TO QUESTION 16.

16. Using the chart below, state the percentage of responsibility of each person who violated Section 14 of the Securities And Exchange Act of 1934 in connection with the merger of JDSU and SDL, measured as a percentage of all persons who caused or contributed to the loss of the plaintiff class. You should not allocate any percentage of responsibility to anyone who did not violate Section 14. The total of all percentages must be 100%.

	Did this person violate Section 14?	Percentage of Responsibility
Kevin Kalkhoven	Yes _____ No _____ (Copy answer from question 19 above.)	%
Anthony Muller	Yes _____ No _____ (Copy answer from question 21 above.)	%
Jozef Straus	Yes _____ No _____ (Copy answer from question 23 above.)	%

PLEASE PROCEED TO QUESTION 17.

Control Person Liability Under Section 20(a) of the Securities and Exchange Act

17. Is Charles Abbe liable as a control person, pursuant to Section 20(a) of the Securities and Exchange Act of 1934, for JDSU'S violations of Section 10(b)?

Yes _____ No _____

IF YOU ANSWERED YES, PLEASE PROCEED TO QUESTION 18. IF YOU ANSWERED NO, PROCEED TO QUESTION 19.

18. Did Charles Abbe knowingly violate Section 20(a) of the Securities and Exchange Act of 1934?

Yes _____ No _____

PLEASE PROCEED TO QUESTION 19.

19. Is Kevin Kalkhoven liable as a control person, pursuant to Section 20(a) of the Securities and Exchange Act of 1934, for JDSU's violations of Section 10(b)?

Yes _____ No _____

IF YOU ANSWERED YES, PLEASE PROCEED TO QUESTION 20. IF YOU ANSWERED NO, PROCEED TO QUESTION 21.

20. Did Kevin Kalkhoven knowingly violate Section 20(a) of the Securities and Exchange Act of 1934?

Yes _____ No _____

PLEASE PROCEED TO QUESTION 21.

1 21. Is Anthony Muller liable as a control person, pursuant to Section 20(a) of the
2 Securities and Exchange Act of 1934, for JDSU's violations of Section 10(b)?

3 Yes ____ No ____

4 IF YOU ANSWERED YES, PLEASE PROCEED TO QUESTION 22. IF YOU ANSWERED
5 NO, PROCEED TO QUESTION 23.

6 22. Did Anthony Muller knowingly violate Section 20(a) of the Securities and
7 Exchange Act of 1934?

8 Yes ____ No ____

9 PLEASE PROCEED TO QUESTION 23.

10 23. Is Jozef Straus liable as a control person, pursuant to Section 20(a) of the
11 Securities and Exchange Act of 1934, for JDSU's violations of Section 10(b)?

12 Yes ____ No ____

13 IF YOU ANSWERED YES, PLEASE PROCEED TO QUESTION 24. IF YOU ANSWERED
14 NO, PROCEED TO QUESTION 25.

15 24. Did Joseph Straus knowingly violate Section 20(a) of the Securities and Exchange
16 Act of 1934?

17 Yes ____ No ____

18 PLEASE PROCEED TO QUESTION 25.

19 25. Using the chart below, state the percentage of responsibility of each person who
20 violated Section 20(a) of the Securities And Exchange Act of 1934, measured as a percentage of
21 all persons who caused or contributed to the loss of the plaintiff class. You should not allocate
22 any percentage of responsibility to anyone who did not violate Section 20(a). The total of all
23 percentages must be 100%.

	Did this person violate Section 20(a)?	Percentage of Responsibility
Charles Abbe	Yes _____ No _____ (Copy answer from question 26 above)	%
Kevin Kalkhoven	Yes _____ No _____ (Copy answer from question 28 above.)	%
Anthony Muller	Yes _____ No _____ (Copy answer from question 30 above.)	%
Jozef Straus	Yes _____ No _____ (Copy answer from question 32 above.)	%

PLEASE PROCEED TO QUESTION 26.

Insider Trading Claims Under Section 20A of the Securities and Exchange Act

26. Did Charles Abbe engage in insider trading in violation of Section 20A of the Securities and Exchange Act of 1934?

Yes _____ No _____

PLEASE PROCEED TO QUESTION 27.

27. Did Kevin Kalkhoven engage in insider trading in violation of Section 20A of the Securities and Exchange Act of 1934?

Yes _____ No _____

PLEASE PROCEED TO QUESTION 28.

28. Did Anthony Muller engage in insider trading in violation of Section 20A of the Securities and Exchange Act of 1934?

Yes _____ No _____

PLEASE PROCEED TO QUESTION 29.

29. Did Jozef Straus engage in insider trading in violation of Section 20A of the Securities and Exchange Act of 1934?

Yes _____ No _____

PLEASE PROCEED TO QUESTION 30 IF YOU ANSWERED YES TO ANY ONE OR MORE OF THE FOLLOWING QUESTIONS: 1, 3, 5, 7, 9, 12, 13, 14, 17, 19, 21, 23, 26, 27, 28, or 29 IF YOU ANSWERED NO TO EVERY ONE OF THOSE QUESTIONS, PLEASE PROCEED TO QUESTION 31.

**Damages for Violations of Sections 10(b), 14 and 20(a) and 20A
of the Securities and Exchange Act**

30. Please fill in below the amount by which JDSU's common stock price per share was inflated in each of the sub-periods of the Class Period.

Sub-period	Begin Date	End Date	Percentage Inflation
1	4/26/00	7/25/00	
2	7/26/00	7/26/00	
3	7/27/00	10/24/00	
4	10/25/00	10/25/00	
5	10/26/00	11/8/00	
6	11/9/00	12/21/00	
7	12/22/00	12/26/00	
8	12/27/00	1/1/01	
9	1/2/01	1/25/01	
10	1/26/01	1/28/01	
11	1/29/01	2/15/01	
12	2/16/01	3/28/01	
13	3/29/01	4/19/01	
14	4/20/01	4/22/01	
15	4/23/01	4/23/01	
16	4/24/01	4/24/01	
17	4/25/01	6/14/01	
18	6/15/01	6/17/01	
19	6/18/01	7/26/01	

PLEASE PROCEED TO QUESTION 40.

31. State the aggregate damages to the plaintiff class for violations of section 10(b) of the Securities and Exchange Act of 1934.

\$_____.

PLEASE PROCEED TO QUESTION 32.

32. State the aggregate damages to the plaintiff class for violations of Section 14 of the Securities and Exchange Act of 1934 in connection with the merger with E-TEK.

\$_____.

PLEASE PROCEED TO QUESTION 33

33. State the aggregate damages to the plaintiff class for violations of Section 14 of the Securities and Exchange Act of 1934 in connection with the merger with SDL.

\$_____.

PLEASE PROCEED TO QUESTION 34.

**Claims Under Section 11 of the Securities Act
in Connection with the Merger with SDL**

34. Did JDS Uniphase Corporation violate Section 11 of the Securities Act of 1933 in connection with the merger with SDL?

Yes ____ No ____

PLEASE PROCEED TO QUESTION 35

35. Did Anthony Muller violate Section 11 of the Securities Act of 1933 in connection with the merger with SDL?

Yes ____ No ____

PLEASE PROCEED TO QUESTION 36

36. Did Jozef Straus violate Section 11 of the Securities Act of 1933 in connection with the merger with SDL?

Yes ____ No ____

37. Is Anthony Muller responsible as a control person, pursuant to Section 15 of the Securities Act of 1933, for JDSU's violation of Section 11 in connection with the merger with SDL?

Yes ____ No ____

PLEASE PROCEED TO QUESTION 38.

38. Is Jozef Straus responsible as a control person, pursuant to Section 15 of the Securities Act of 1933, for JDSU's violation of Section 11 in connection with the merger with SDL?

Yes ____ No ____

PLEASE PROCEED TO QUESTION 39 IF YOU ANSWERED YES TO ONE OR MORE OF THE FOLLOWING QUESTIONS: 34, 35, 36, 37 or 38. IF YOU ANSWERED NO TO EVERY ONE OF THOSE QUESTIONS, PROCEED TO QUESTION 40.

1 39. State the aggregate damages for violations of Section 11 of the Securities Act of
2 1933 in connection with the merger with SDL.

3 \$_____.

4 PLEASE PROCEED TO QUESTION 40.

5 **Claims Under Sections 12(a)(2) and 15 of the Securities Act of 1933**

6 **in Connection With the Merger with SDL**

7 40. Did JDS Uniphase Corporation violate Section 12(a)(2) of the Securities Act of
8 1933 in connection with the merger with SDL?

9 Yes ____ No ____

10 IF YOU ANSWERED YES, PLEASE PROCEED TO QUESTION 41. IF YOU ANSWERED
11 NO, PLEASE SIGN AND DATE THIS FORM, AND ADVISE THE CLERK THAT YOU
12 HAVE REACHED A VERDICT.

13 41. Is Anthony Muller responsible as a control person, pursuant to Section 15 of the
14 Securities Act of 1933, for JDSU's violation of Section 12(a)(2) in connection with the merger
15 with SDL?

16 Yes ____ No ____

17 PLEASE PROCEED TO QUESTION 42.

18 42. Is Jozef Straus responsible as a control person, pursuant to Section 15 of the
19 Securities Act of 1933, for JDSU's violation of Section 12(a)(2) in connection with the merger
20 with SDL?

21 Yes ____ No ____

22 PLEASE PROCEED TO QUESTION 43.

23 43. State the aggregate amount of damages for violations of Section 12(a)(2) of the
24 Securities Act of 1933 in connection with the merger with SDL.

25 \$_____.

1 PLEASE SIGN AND DATE THIS FORM, AND ADVISE THE CLERK THAT YOU HAVE
2 REACHED A VERDICT.

3 Dated: _____

4 _____
Jury Foreperson